

**2001 No. 3437**

**FINANCIAL SERVICES AND MARKETS**

**The Financial Services and Markets Act 2000  
(Disclosure of Confidential Information) (Amendment)  
Regulations 2001**

*Made* - - - - - *18th October 2001*  
*Laid before Parliament* *18th October 2001*  
*Coming into force* - - *8th November 2001*

The Treasury, in exercise of the powers conferred on them by sections 349(1)(b), (2) and (3), and 417(1)(a) of the Financial Services and Markets Act 2000(b), hereby make the following Regulations:

**Citation and commencement**

1. These Regulations may be cited as the Financial Services and Markets Act 2000 (Disclosure of Confidential Information) (Amendment) Regulations 2001 and come into force on 8th November 2001.

**Amendment**

2. The Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001(c) are amended by inserting the following entry at the end of the table in Part 1 of Schedule 1—

A person appointed by the Treasury to hold an inquiry into matters relating to financial services (including an inquiry under section 15 of the Act), or an officer or member of staff of such an inquiry	His functions in carrying out the inquiry and reporting to the Treasury
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18th October 2001

*Anne McGuire*  
*John Heppell*  
Two of the Lords Commissioners of  
Her Majesty's Treasury

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(a) See the definition of "prescribed".  
(b) 2000 c. 8.  
(c) S.I. 2001/2188.

## EXPLANATORY NOTE

*(This note is not part of the Order)*

These Regulations amend the Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001 (“the principal regulations”) by adding a new entry to the table in Part 1 of Schedule 1.

The new entry refers to persons appointed by the Treasury to hold inquiries into matters relating to financial services (including inquiries under section 15 of the Financial Services and Markets Act 2000), and officers and members of staff of such inquiries.

The effect of the amendment is to allow the disclosure of confidential information, as defined in section 348(2) of the Financial Services and Markets Act 2000, to the persons referred to in the first column of the new entry, for the purposes described in the second column. The ability to disclose confidential information is subject to the conditions set out in regulations 9, 10 and 12 of the principal regulations.

£1.50

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E1854 12/2001 668480 19585

ISBN 0-11-039029-6



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